Limitations of Earthquake Triggering Models*

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* in Southern California



Why do earthquakes cluster in time and space?

- Earthquake triggering. Event A increases probability of future nearby events. Very clear in aftershock sequences, although mechanism (static vs. dynamic triggering) is debated.
- Underlying physical changes, such as slow creep, pore fluid pressure variations, etc. Often invoked to explain earthquake swarms.



Southern California Seismicity



1994 Northridge Earthquake (M 6.7)



Omori's Law (Omori, 1894)

$$n(t) = K(t+c)^{-1}$$





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Epidemic Type Aftershock Sequences (ETAS) modeling

$$\begin{split} & p^{\text{redicted rate}} & b^{\text{selepting}} & b^{$$

where:

 $\lambda(\mathbf{x}, t) = \text{predicted event density}$ $\lambda_0 = \text{background rate (untriggered)}$ $\kappa = \text{triggering productivity parameter}$ $m_i = \text{magnitude of each earthquake}$ $m_0 = \text{minimum magnitude of the counted events}$ $\alpha \approx 1$ (larger earthquakes trigger more events) $t_i = \text{time from the } i\text{th event to } t$ $c \text{ and } p \ (\approx 1)$ are the Omori decay constants $r_i = \text{distance from the } i\text{th event to } \mathbf{x}$ q defines the decay with distance





Aftershock distance dependence (*Felzer & Brodsky*, 2006)

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- Used relocated southern • California catalog
- Stacked "mainshocks" to get average aftershock densities
- Results suggest $q \sim 3.3$ in r^{-q} dependence of aftershocks on distance



5 minutes after 7,396 M 2–3 and 2,355 M 3–4 mainshocks



after 2,355 mainshocks





Emily Brodsky

Gutenberg-Richter relation

$$\log_{10} N = a - bM$$



b value, generally observed to be 0.8 to 1.2

productivity parameter (for aftershock sequences, *a* can be estimated from: *Bath's Law: the largest aftershock is about one magnitude smaller than the mainshock*)

Simulated catalog

$$\lambda(\mathbf{x},t) = \lambda_0 + \sum_i \kappa 10^{\alpha(m_i - m_0)} (t_i + c)^{-p} r_i^{-q}$$

Example run of *Aftsimulator.m* program (Karen Felzer) Uses $\alpha = 1$, p = 1.34, q = 3.37, G-R relation with b = 1 $\lambda_0(\mathbf{x}) =$ background rate for S. Calif. (Andy Michael)





What features of real catalogs do ETAS-type models miss?

- Swarms and swarm-like behavior
- Differences in precursory activity between target events of different sizes
- Time-symmetric time/space clustering of small earthquakes



Swarms near Northridge





Southern California earthquake "bursts"

John Vidale



Selection criteria:

- 40 events within 2 km radius in 28 days
- fewer than 4 events in prior 28 days
- no more than 20% additional events between 2 and 4 km radius





- + 14 start with largest event (mainshock-like)
- 57 start with smaller event (swarm-like)

Southern California bursts

X first event

largest event





Swarm-like behavior: Evidence against simple triggering cascade





- Interval of steady seismicity rate
- Tendency for largest event to strike later in sequence
- Large spatial extent of swarms compared to their cumulative moment
- Often involve spatial migration of seismicity
- Weak correlation between number of events and magnitude of largest events
- Suggested underlying physical cause, such as pore fluid pressure changes and/ or aseismic slip
- Swarms are distributed across region, not restricted to volcanic or geothermal areas

ETAS-like models predict triggered earthquakes have random sizes



- Triggering model provides probability of earthquake in this space/time box, given the past history of seismicity
 - But if an earthquake occurs, its size is randomly drawn from the G-R relation
 - Thus, the average precursory seismicity behavior should be identical before earthquakes of any given size

Test using LSH catalog (Lin et al., 2007)





Guoqing Lin

- 1981–2005, relocated using waveform cross-correlation to precision of tens of meters
- Windowed to inside network only, M ≥ 1.5, 173,058 quakes
- Target events excluded for several months following M ≥ 6 mainshocks, and for 3 days following M ≥ 4 quakes

Space/time behavior of precursory seismicity





number of target events

Magnitude dependence of precursory seismicity rate

target event size



Linear event density in day before target quakes



$$D_{lin} = \frac{n}{N(r_2 - r_1)}$$

"Extra" precursory events at larger magnitudes



Extra events in each distance bin per target event (compared to M 2-3 results)

$$E = \frac{n}{N} - \frac{n_{(M2-3)}}{N_{(M2-3)}}$$

How robust is this result?



Catalog with less accurate locations

Precursory Seismicity in Southern California

- Enhanced activity in 1-day period preceding M 3-5 quakes compared to M 2-3 quakes at distances of 0.5 to 2 km.
- Anomaly onset roughly agrees with expected source radius of target quakes.
- Reduced activity at shorter distances.
- Not useful for prediction of individual quakes.
- These anomalies are NOT predicted by standard earthquake triggering models.

Aftershock study of Rubin & Gillard (2000)



- High-precision relocations of 4300 quakes on central San Andreas Fault
- Plot shows first event following M 1–3.5 mainshocks, scaled by expected source radius of mainshock, assuming 10 MPa stress drop
- "Hole" indicates likely slip plane
- A really nice study!



Felzer & Brodsky (2006), revisited

- Picked target events with no larger earthquake within 3 days before and 0.5 day afterward
- Plotted events within 30 minutes after M 3–4 targets



But similar behavior seen before target earthquakes



Behavior for M 2-3 targets is nearly time-symmetric



Felzer & Brodsky (2006), revisited

- Picked target events with no larger earthquake within 3 days before and 0.5 day afterward
- Plotted events within 5 minutes after M 2–3 and M 3–4 targets





M 2–4 triggering only resolvable to distances of 1 to 3 km

- F&B exclusion criteria
- $M \ge 1.5$
- ± 1 hour from target event times



What causes precursory clustering?

Simple AB/BA symmetry argument?



No! Plots are only of events *smaller* than targets.



What causes precursory clustering?

Expected behavior from foreshock triggering? (sometimes mainshocks are really big aftershocks)

To test this, I performed 100 simulations of S. Calif. seismicity using *Aftsimulator.m* program (Karen Felzer) with $\alpha = 1$, p = 1.34, q = 3.37, G-R relation with b = 1 $\lambda_0(\mathbf{x}) =$ background rate for S. Calif. (Andy Michael)

$$\lambda(\mathbf{x},t) = \lambda_0 + \sum_i \kappa 10^{\alpha(m_i - m_0)} (t_i + c)^{-p} r_i^{-q}$$

Data vs ETAS synthetics ($M \ge 1.5$)





What causes precursory clustering?

- Simulations suggest that the bulk of time-symmetric clustering for M 1.5–4 earthquakes in southern California is *not* caused by ETAS-like triggering, but by some other process.
- More simulations are needed to test this conclusion, but it's hard to see how runs that satisfy Bath's Law will produce time-symmetric behavior.
- Swarms provide additional evidence for an underlying physical driving mechanism for clustering.
- Important issue for earthquake prediction (ETAS models are totally random and limit how good predictions can be).

